# ROCKET-AF: Rivaroxaban vs Warfarin in patients with Atrial Fibrillation <sup>1</sup>

Rivaroxaban Once daily oral direct factor Xa inhibition Compared with vitamin K antagonism for prevention of stroke and Embolism Trial in AF

#### **BOTTOM LINE**

In atrial fibrillation (AF) patients with an ↑ risk of stroke (mean CHADS<sub>2</sub> score 3.5), rivaroxaban 20mg po daily:

- Was non-inferior (i.e. no worse than) to warfarin for ↓ stroke or systemic embolism
- Had less hemorrhagic strokes, systemic embolism & bleeding (critical, fatal & intracranial) versus warfarin
- Had more drops in hemoglobin ≥20 g/L, tranfusions, gastrointestinal bleeding, epistaxis & hematuria versus warfarin
- At time of publication, rivaroxaban for AF is approximately \$100/month; 15mg, 20mg tablets. AF is approximately \$100/month.

#### **BACKGROUND**

**RESULTS** 

- Vitamin K antagonists (VKA) are used to ↓ the risk of stroke in AF patients; however, these agents require frequent monitoring, interact with drugs/food, & require several days of therapy to become therapeutic/discontinuation before clearing the body.
- New oral anticoagulants (apixaban ELIQUIS, <sup>2,3</sup> dabigatran PRADAX <sup>4,5</sup> & rivaroxaban XARELTO) are alternatives to VKA, such as warfarin.
- Rivaroxaban XARELTO is a new oral direct factor Xa inhibitor.
- ROCKET-AF is the first Phase III study assessing the use of rivaroxaban for stroke prevention in AF patients.

#### TRIAL BACKGROUND

**DESIGN:** randomized, multi-centre 45 countries, double-blinded, double-dummy controlled trial with concealed allocation; non-inferiority with predesigned superiority, intention-to-treat & per-protocol analysis. Funded by Johnson & Johnson and Bayer.

INTERVENTION: rivaroxaban 20mg\* po daily vs dose-adjusted warfarin (INR 2-3 measured ≤1 month)

\* rivaroxaban 15mg po daily in patients with CrCl 30-49 mL/min see page 2 for subgroup analysis

INCLUSION: persistent/paroxysmal AF on  $\geq 2$  episodes 1 documented on ECG within 30 days of enrolment; age  $\geq 18$  yrs; risk of future stroke: history of stroke/TIA or systemic embolism  $OR \geq 2$  of the following: HF or LVEF $\leq 35\%$ , HTN (on BP meds 6 months before or SBP> 140 mmHg or DBP> 90 mmHg), age  $\geq 75 \text{ yr}$ , or DM (i.e. CHADS2 score of  $\geq 2$ ). Only 10% could have a CHADS2 score of 2, with the remainder having a score of  $\geq 3$  or prior stroke, TIA or systemic embolism.

EXCLUSION: Cardiac-related conditions AF due to reversible disorders, active endocarditis, mitral stenosis, presence of atrial myxoma or LV thrombus, planned cardioversion, prosthetic heart valve, BP ≥ 180/100 mmHg; Hemorrhage risk-related criteria active internal bleeding, hx of major surgical procedure or trauma within 30 days, GI bleed within 6 months, hx of intracranial/intracular/spinal/draumatic intraarticular bleeding, chronic hemorrhagic disorder, known intracranial neoplasm, arteriovenous malformation, or aneurysm, planned invasive procedure with potential for uncontrolled bleeding, including major surgery; anemia Hgb <100g/L; any stroke within 14 days (severe within 90 days) or TIA within 3 days; indication for anticoagulant therapy for a condition other than AF (e.g. VTE); tx with ASA>100mg/d, ASA/thienopyridine or IV antiplatelets within 5 days; fibrinolytics within 10 days; anticipated need for long-term tx with NSAID; systemic treatment with a strong inhibitor/inducer of CYP P450 3A4 within 4 days or planned treatment during the study; pregnancy/breastfeeding; HIV; CrCl<30mL/min; liver disease or ALT>3x ULN.

POPULATION at baseline: n=14,264 non-valvular AF patients at risk of stroke

- AF ~81% persistent, ~17.6% paroxysmal, 1.4% newly diagnosed/onset; CHADS<sub>2</sub> mean = 3.5, median=3, CHADS<sub>2</sub> score ~13% =2, 43% =3, 29% =4, 13% =5, rivaroxaban 1.7% vs warfarin 2.2% = 6 (p<0.05 for CHADS<sub>2</sub> score of 6).
- ~60% ♂; median age 73yrs 25% ≥78yrs, BMI 28 kg/m², BP 130/80 mmHg, CrCl 67mL/min
- History of stroke/TIA 55%, HF 63%, HTN 91%, DM 40%, MI 17%
- Baseline medications: β-blocker ~65%, diuretics 60%, ACE-I 55%, statins 43%, digoxin 39%, ASA 38%. Previous use of vitamin K antagonist 62%.

# TABLE: EFFICACY & SAFETY NON-INFERIOR DATA SUPERIORITY DATA | RIVAROXABAN | WARFARIN | HAZARD RATIO (95% CI) | NNT/NNH | | PRIMARY ENDPOINTS | PER-PROTOCOL | ITT | PER-PROTOCOL

median follow-up: per-protocol (PP) & safety population = 590 days, intention-to-treat (ITT) = 707 days

PRIMARY ENDPOINTS	RIVAROXABAN		Warfarin		HAZARD RATIO (95% CI)		NNT/NNH				
	PER-PROTOCOL (n=6958)	ITT (==7001)	PER-PROTOCOL	ITT (==7000)	PER-PROTOCOL	ITT	PP/	ITT/	Comments		
	2.70%	(n=7081) 3.80%	(n=7004) 3.44%	(n=7090) 4.32%			1.6yr	1.9yr	RIVAROXABAN VS WARFARIN:		
Stroke or Systemic Embolism	{n=188}	3.80% {n=269}	3.44% 4.52% {n=241} {n=306}	0.79	0.88	135	_	- Non-inferior (i.e. no worse than)			
	1.7%/yr	2.1%/yr	2.2%/yr	2.4%/yr	(0.66-0.96)	(0.75-1.03)	155	_	to warfarin for stroke or systemic		
SECONDARY ENDPOINTS	., .,		WARFARIN				NINIT	NINILI	embolism.		
	Rivaroxaban (n=7061)				HAZARD RATIO (95% CI)		NNT/NNH /1.6YR		<ul> <li>→ hemorrhagic stroke, systemic embolism &amp; bleeding (critical, fatal &amp; intracranial).</li> </ul>		
EEEICACY: Based on safety no			(n=7082)								
EFFICACY: Based on safety population, rivaroxaban n=7061 vs warfarin n=7082 excluded violating site & those who did not receive a dose									-↑ drop of hemoglobin ≥20g/L,		
Stroke	2.61% {n=184} (1.65%/yr)		3.12% {n=221} (1.96%/yr)		NS		-		transfusion, GI bleed, epistaxis &		
Hemorrhagic Stroke			` '''		0.59 (0.37-0.93)		333		hematuria.		
			0.71% {n=30} (0.44%/yr) 0.31% {n=22} (0.19%/yr)				417		 		
Systemic Embolism					0.23 (0.	09-0.61)	4.	17	WARFARIN VS RIVAROXABAN:		
Myocardial Infarction	1.43% {n=101}		1.78% {n=126}		NS		-		<ul> <li>         - ↑ concurrent ASA use: warfarin         (36.2%) vs rivaroxaban (34.9%)         - ↑ baseline CHADS₂ score of 6:     </li> </ul>		
	(0.91%/yr)		(1.12%/yr)								
All Cause Mortality	2.95% {n=208}		3.53% {n=250}		NS		-		warfarin (2.2%) vs rivaroxaban		
,	(1.87%/yr)		(2.21%/yr)						(1.7%), p<0.05.		
BLEEDING: Based on safety population, rivaroxaban n=7111 vs warfarin n=7082 excluded those who did not receive a dose									– Warfarin TTR= mean 55%, median		
Major Bleed*	5.6% {n=395} (3.6%/yr)		5.4% {n=386} (3.4%/yr)		NS				58%. North American sites: 64%.7		
Hemoglobin ↓≥20g/L	4.3% {n=30	4.3% {n=305} (2.8%/yr) 3		3.6% {n=254} (2.3%/yr)		.03-1.44)	14	13	OTHER COMMENTS:		
Transfusion	2.6% {n=183} (1.6%/yr)		2.1% {n=149} (1.3%/yr)		1.25 (1.01-1.55)		20	00	- Lost to follow-up: 32		
Critical Bleeding	1.3% {n=91	.} (0.8%/yr)	1.9% {n=13	3} (1.2%/yr)	0.69 (0	.53-0.91)	16	57	- 93 patients excluded (50		
Fatal Bleeding	0.4% {n=27	0.4% {n=27} (0.2%/yr)		0.8% {n=55} (0.5%/yr)		0.50 (0.31-0.79) <b>0.67 (0.47-0.93)</b>		50	rivaroxaban & 43 warfarin) from		
Intracranial bleed	0.8% {n=55} (0.5%/yr)		1.2% {n=84} (0.7%/yr)		0.67 (0.			50	all efficacy analyses before		
Gastrointestinal Bleed†	3.2% {	3.2% {n=224}		2.2% {n=154}		0.05	10	00	unblinding because of violations in Good Clinical Practice.		
Epistaxis	10.1%	n=721}	8.6% {n=609}		P<	P<0.05		7			
Hematuria	4.2% {	4.2% {n=296}		3.4% {n=242}		P<0.05		25	- Subgroup analyses: NS		
Discontinuation Rates	23.	7%	22.	2%		-		-	<u> </u>		

<sup>\*</sup> Major Bleed = Hemoglobin ↓≥20g/L, transfused ≥2units, or symptomatic bleeding critical area or organ (intracranial, spinal, ocular, pericardial, articular, retroperitoneal, or intramuscular with compartment syndrome), fatal outcome or permanent disability.

<sup>†</sup> Gastrointestinal Bleed = upper, lower, rectal gastrointestinal bleeding

#### PUBLISHED SUBGROUP ANALYSES

Note: subgroup analyses are not powered to detect a conclusive difference between treatments groups; however, the following subgroup analyses were similar to the overall trial results with the entire patient population.

### 1) Pre-Designed Subgroup Analysis of ROCKET-AF Patients with Moderate Renal Impairment (=CrCl 30-49 mL/min at baseline)<sup>8</sup>

- Background: patients with CrCl 30-49 mL/min have a 25-30% ↑ rivaroxaban serum concentration → 25% ↓ in rivaroxaban =15mg
- N=2950 (20.7% of ROCKET-AF patient population), rivaroxaban 15mg po daily (n=1474) vs dose-adjusted warfarin (INR 2-3, n=1476)
- Population: compared to the ROCKET-AF patients with CrCl ≥50 mL/min, patients with a CrCl 30-49 mL/min:
  - $\uparrow$  age (median 79 years), CHADS₂ score (mean 3.7 ±1), history of HF ~66%, PAD ~7.5% & MI ~19%
  - √ 5 45%, BMI (median 25 kg/m²), history of stroke/TIA ~50% & DM ~32%
- Compared to the ROCKET-AF patients with CrCl ≥50 mL/min, patients with a CrCl 30-49 mL/min had an ↑ risk of stroke & systemic embolism (primary endpoint) & ↑ risk of bleeding.
- Rivaroxaban 15mg po daily vs warfarin had consistent results when compared to patients with preserved renal function.

#### 2) Pre-Designed Subgroup Analysis of ROCKET-AF Patients with Previous Stroke or TIA9

- N=7468 (52% of ROCKET-AF patient population), previous stroke (n=4907) or TIA (n=2561)
- Median time from previous stroke or TIA to randomization was 551 days (interquartile range 126-1702 days)
- Rivaroxaban (n=3754) versus warfarin (n=3714)
- Population: compared to ROCKET-AF patients without a history of stroke/TIA, patients with a history of stroke/TIA (p<0.05):</li>
  - — ↑ CrCl (median 69 mL/min), CHADS₂ score (median 4), previous ASA (38%) or vitamin K antagonist (59%) use
  - ↓ age (median 71 years), BMI (median 27.5 kg/m²), persistent AF (80%), HTN 85%, HF 51%, DM 25%, MI 15%, PAD 5%, COPD 9%
- Regardless of study group, patients with a history of stroke/TIA had ↑ risk of stroke & systemic embolism (primary endpoint) & ↓ risk of major bleeding (compared to ROCKET-AF patients without a history of stroke/TIA):
- Stroke & systemic embolism: without history of stroke/TIA 1.66% vs with a history of stroke/TIA 2.87%, HR 1.7 (95% CI 1.44-2.02), p<0.0001
- Major bleeding: without history of stroke/TIA 3.89% versus with a history of stroke/TIA 3.18%, HR 0.81 (95% CI 0.7-0.93), p=0.0037
- The comparison of rivaroxaban versus warfarin was similar, regardless of whether the anticoagulants were used as primary or secondary stroke
  prevention.

## STRENGTHS, LIMITATIONS, & UNCERTAINTIES

STRENGTHS: •Important clinical endpoints (e.g. stroke & bleed) •Double blind, double dummy with sham INRs

◆Moderate to high risk of stroke (mean CHADS<sub>2</sub> score = 3.5)

◆Used both per-protocol & intention-to-treat analysis

◆Similar discontinuation rates in both groups (rivaroxaban 23.7% versus warfarin 22.2%)

◆Only 32 patients lost to follow-up (0.22%)

**LIMITATIONS:** •Warfarin was within therapeutic range only 55% North American sites 64% of the study period ACTIVE-W 63.8%, ARISTOTLE 66%, RELY 64%

◆Short study duration ◆One site violated Good Clinical Practice

ullet ~ 35% of patients in each arm of the trial were on concomitant aspirin treatment

**UNCERTAINITIES:** • Drug not yet studied in patients with CrCL<30 mL/min or in liver disease

◆Drug interactions?

◆↑stroke after rivaroxaban stopped 28 days later

• No antidote for reversing bleeding with rivaroxaban

 $\bullet$  Lack long-term follow-up & real-world experience with rivaroxaban

# RELATED STUDIES

#### J-ROCKET AF<sup>10</sup>

- Japan was not included in the original ROCKET-AF trial because:
  - Pharmacokinetic data: Cmax & area under the curve for rivaroxaban 15mg po daily in Japanese patients ≈ rivaroxaban 20mg po daily in Caucasians.
  - Japanese clinical practice guidelines recommend a target INR of 1.6 2.6 in patients' ≥70 years of age.
- N=1280; randomized, double-blind, double-dummy, multicentre 167 sites non-inferiority trial in Japan.
- Intervention: rivaroxaban 15mg\* po daily versus dose-adjusted warfarin (INR 2-3 in patients <70 years of age & INR 1.6-2.6 in patients ≥70 years old).</li>
   \*rivaroxaban 10mg po daily in patients with CrCl 30-49 mL/min → 22% of the patient population
- Safety: rivaroxaban was non-inferior to warfarin for the composite of major & non-major bleeding; individual composite endpoints not statistically significant when separated. Differences in location of bleeds were not tested for statistical significance.
- Efficacy: not powered for efficacy stroke & systemic embolism was NS (p=0.05).
- Overall, the J-ROCKET AF study results were similar to the global ROCKET-AF study.

# **RXFILES RELATED LINKS**

- Atrial Fibrillation Treatment Overview <a href="http://www.rxfiles.ca/rxfiles/uploads/documents/members/cht-Atrial-Fibrillation.pdf">http://www.rxfiles.ca/rxfiles/uploads/documents/members/cht-Atrial-Fibrillation.pdf</a>
- Oral Antiplatelet & Antithrombotic Agents Comparison Chart http://www.rxfiles.ca/rxfiles/uploads/documents/members/cht-AntiThrombotics.pdf
- Canadian Family Physician RxFiles: Article Oral anticoagulation in atrial fibrillation http://www.cfp.ca/content/58/8/850.full
- ARISTOTLE (apixaban ELIQUIS vs warfarin in AF) Trial Summary http://www.rxfiles.ca/rxfiles/uploads/documents/ARISTOTLE-AF-Apixaban.pdf
- RELY (dabigatran PRADAX vs warfarin in AF) Trial Summary <a href="http://www.rxfiles.ca/rxfiles/uploads/documents/RE-LY-Trial-Dabigatran.pdf">http://www.rxfiles.ca/rxfiles/uploads/documents/RE-LY-Trial-Dabigatran.pdf</a>
- ACTIVE-A (ASA ± clopidogrel PLAVIX in AF) & ACTIVE-W (ASA + clopidogrel PLAVIX vs warfarin in AF) Trial Summary <a href="http://www.rxfiles.ca/rxfiles/uploads/documents/ACTIVE-A-Trial-Summary.pdf">http://www.rxfiles.ca/rxfiles/uploads/documents/ACTIVE-A-Trial-Summary.pdf</a>
- RACE-II (lenient vs strict rate control in AF) Trial Summary <a href="http://www.rxfiles.ca/rxfiles/uploads/documents/RACE-II-trial.pdf">http://www.rxfiles.ca/rxfiles/uploads/documents/RACE-II-trial.pdf</a>
- PALLAS (dronedarone MULTAQ in permanent AF) Trial Summary <a href="http://www.rxfiles.ca/rxfiles/uploads/documents/PALLAS-trial%20summary.pdf">http://www.rxfiles.ca/rxfiles/uploads/documents/PALLAS-trial%20summary.pdf</a>

😚=male 🛥 = requires EDS in SK 😂= not covered by NIHB ACE-I=angiotensin converting enzyme inhibitor AF=atrial fibrillation ALT=alanine aminotransferase ASA=acetylsalicylic acid β-blocker=beta blocker BMI=body mass index BP=blood pressure CI=confidence interval COPD=chronic obstructive pulmonary disease CrCI=creatinine clearance CYP=cytrochrome DBP=diastolic blood pressure DM=diabetes EDS=exceptional drug status ECG=electrocardiogram GI=gastrointestinal HF=heart failure Hgb=hemoglobin HIV=human immunodeficiency virus HR=hazard ratio HTN=hypertension hx=history INR=international normalized ratio ITT=intention-to-treat IV=intravenous LV=left ventricle LVEF=left ventricular ejection fraction MI=myocardial infarction NNT=number needed to treat NNH=number needed to harm NS=not significant NSAID=nonsteroidal anti-inflammatory drug PAD=peripheral artery disease PP=per-protocol SBP=systolic blood pressure TIA=transient ischemic attack tx=treatment ULN=upper limit of normal VKA=vitamin K antagonist VTE=venous thromboembolism yrs=years

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